



WEALTH AND INVESTMENTS

RISK ASSESSMENT QUESTIONNAIRE

Version: 03.05.2019

A. GENERAL INFORMATION

Date: _____

Client: _____

Portfolio Manager: _____

Wealth Manager: _____

Existing Client (IWI) account number: _____

B. RISK ASSESSMENT (COMPULSORY)

The Questions below relate to your capacity to take risk. Its purpose is merely a guide, it should not be influenced by any particular investment or need you are contemplating.

For the 18 questions below, select one of the options.

No.	Question	Strongly disagree	Disagree	Neutral	Agree	Strongly Agree
1	<i>For me investing is experiencing the ups and downs.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	<i>I feel stressed when making important financial decisions.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	<i>It's important for me to take financial risks.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	<i>I believe that I generally take bigger investment risks with my money than other people.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5	<i>I always overreact to unexpected bad financial news.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	<i>I would still consider making risky investments even if I had made significant losses in the past.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	<i>I worry about things going wrong with my financial decisions.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8	<i>To avoid the possibility of losing money on my investments, I would rather not make a financial decision.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Client Initials: _____

Ironside Wealth and Investments - Page | 1

9	<i>To get higher long-term returns, I'm happy to risk a short-term fall in the value of my investments.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	<i>Others would say that I like taking risks with my money.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	<i>I feel relaxed about uncertainty regarding risky investments.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	<i>When I consider the risks of investing, I feel quite anxious.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13	<i>I expect my investments to sometimes go down in value as well as up.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14	<i>I would be happy to tolerate fluctuations in the value of my investments to get a better return in the future.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	<i>When I make an investment decision, I usually feel concerned.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16	<i>Seeing my investments go up and down in value is part of investing money.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	<i>I would get a thrill from making risky investments with my money</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18	<i>I would accept fluctuations in the value of my investments for the potential to gain a return above inflation.</i>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Total Score:

Risk Categories	Low Risk	Conservative	Cautious	Moderate	Moderate Aggressive	Aggressive
Category	0	1	2	3	4	5
Score Range	18-32	33-37	38-47	48-57	58-67	68-90

Signed at: _____ on this the _____ day of _____ in the year _____.

_____.

Client Signature.

_____.

Portfolio Manager/Wealth Manager Signature.

Client Initials: _____.